

Transfer of Assets

ONLY Use This Form To:

Irrevocably transfer assets held at a transfer agent or at a financial institution other than Charles Schwab & Co, Inc. ("Schwab") (e.g., a brokerage firm, mutual fund company, bank, or insurance company) to your newly opened or established Schwab Charitable™ donor-advised fund account.

For fastest processing, we recommend that you initiate this transfer by contacting your transferring firm directly. **All delivery instructions needed by the transferring firm can be found in section 7 of this form.**

Should your asset custodian ask you to establish an account with them using Schwab Charitable's name or address, please contact Donor Services at 1-800-746-6216.

Do NOT Use This Form To:

- Transfer assets from any retirement account, including as part of a **qualified charitable distribution** (QCD) or a **required minimum distribution** (RMD). The IRS doesn't consider donor-advised fund sponsors like Schwab Charitable as qualified charities for QCD/RMD purposes.
- Transfer assets from a Schwab account to your Schwab Charitable™ donor-advised fund account. Please do so online by logging on to the Schwab Charitable **Client Center**. Once you have logged in to your account, click on the "Contribute" tab or the "Contribute to Your Account" button. You may also use the **Contribute to Your Donor-Advised Fund Account** form.
- Transfer assets from another donor-advised fund account (with Schwab Charitable or elsewhere) to your Schwab Charitable donor-advised fund account. To do this, please contact Donor Services at 1-800-746-6216.
- Transfer cash-only assets from another financial institution (see instructions on the last page of this form).

1. Initiate the Transfer of Assets**NOTE:**

- All parties who are registered on the transferring firm's account(s) **MUST** sign Section 6 of this form. See FAQ for additional details if a registered party is not a Schwab client.
- If the assets being transferred are from more than one institution, you **MUST** complete a separate *Transfer of Assets* form for each transferring institution.
- If you are transferring from a transfer agent, you **MUST** initiate the transfer using this Schwab Charitable form, completing Sections 1-6.
- If your asset custodian asks you to establish an account using Schwab Charitable's name or address, or if you are considering the transfer of a Master Limited Partnership (MLP), please contact Donor Services at 1-800-746-6216.

I hereby instruct Schwab Charitable to initiate the transfer of assets. I will provide:

- A copy of a recent statement from the transferring institution (this statement must be dated no more than 90 days prior to the date it is sent).
- A completed and physically signed *Transfer of Assets* form.

2. Tell Us About the Account Registered to Schwab Charitable

Name(s) on the Account

Account Number (If this account is new, Schwab Charitable will complete this section.)

3. Tell Us About the Account From Which You Are Transferring:

Name of Transferring Firm

Account Number

Name(s) on Account

Name(s) on Account

Firm Mailing Address

City

State

Zip Code

Firm Telephone Number

Note to transferring firm: The Schwab Charitable account is registered to Schwab Charitable, NOT the individual(s) listed above. This form therefore is NOT eligible to be processed as an automated customer account transfer.

4. Specify Assets

Assets to be transferred may be noted in a spreadsheet and attached to this form. Each spreadsheet or attachment must be signed and dated by the individual(s) registered on the transferring firm account authorizing this contribution. For mutual funds, we can accept the entire position or fractional shares up to three decimal points.

All information below is REQUIRED for proper processing. All listed assets are to be transferred in-kind.				Cost basis* (optional)	
Security (name/description)	Symbol (if applicable)	Number of shares to be transferred	Approximate value (\$)	Acquired date (mm/dd/yyyy)	Price per share (\$)

Sum of approximate values (\$) (REQUIRED) _____

Cash contribution \$ _____

TOTAL CASH AND SECURITIES \$ _____

*Cost basis information is optional. Shares will be contributed based on a client's current cost basis method unless otherwise specified with a given purchase date and cost.

5. Certification

Irrevocability

By signing below, I/we acknowledge that Schwab Charitable is a 501(c)(3) independent public charity. I/we authorize the irrevocable transfer of ownership of the assets specified in Section 4 of this form (including restricted stock) to Schwab Charitable. Schwab Charitable reviews contributions prior to accepting them. The submission of this form does not constitute a contribution until Schwab Charitable has accepted the assets, and they are delivered and received by Schwab Charitable. **I/we understand that any contribution, once accepted by Schwab Charitable, represents an irrevocable contribution to Schwab Charitable and is not refundable to me/us for any reason.** For core accounts, Schwab Charitable typically liquidates a contribution of securities at the earliest date possible, but reserves the right to do so at any time. Schwab Charitable cannot guarantee the timing of liquidation of assets during conversion of accounts from core to professionally managed accounts.

Errors/Omissions

By signing below, I/we acknowledge that if I/we find any errors or omissions relating to my/our Schwab Charitable donor-advised fund account, I/we must call Schwab Charitable immediately at 1-800-746-6216. I/we will notify Schwab Charitable no later than 10 days after the relevant communications are sent or made available to me/us. I/we understand that Schwab Charitable will not be liable for any losses that occur because of my/our failure to promptly notify Schwab Charitable of an error.

Account Discrepancies

By signing below, I/we acknowledge the existence of any differences in account owners and/or account type between the account from which assets are being transferred and the Schwab Charitable donor-advised fund account. Each individual signatory is aware of these discrepancies and authorizes Schwab Charitable to accept the transfer of assets from unlike accounts.

All account holders or authorized signers of the account listed in Section 3 of this form must sign below. If an account holder's name appears differently on either account, the owner must sign the form using all name variations (e.g., maiden name and married name). If there is a name variation, Schwab may require additional documentation before accepting the assets, such as a marriage certificate or a divorce decree.

6. Signature(s) (Required)**X**

Primary Account Holder (physical signature required, not e-sign eligible)

Today's Date (mm/dd/yyyy)

Print Name

Title

X

Additional Account Holder (physical signature required, not e-sign eligible)

Today's Date (mm/dd/yyyy)

Print Name

Title

X

Additional Account Holder (physical signature required, not e-sign eligible)

Today's Date (mm/dd/yyyy)

Print Name

Title

7. Delivery Instructions

Options for Sending Forms

Return Instructions: Upload online with secure messaging (if you are an existing client and have online access to your account). 1. Go to www.schwab.com and log in to your account. 2. Click Message Center (under the Services tab), and then click Upload Document. 3. Select your Charitable Account in the drop-down menu of accounts.	Investment Advisor Return: 1. Upload online with a New Service Request in the Schwab Advisor Center	Regular Mail: Schwab Charitable P.O. Box 2430 Omaha, NE 68103 Fax:* 1-877-535-3852	Overnight Delivery: Schwab Charitable 200 S 108th Ave. Omaha, NE 68154
--	---	---	--

Please retain a copy of all original documents for your files.

*For fax submissions: It is the intention of each of the signatory account holders that Schwab Charitable™ may rely on a facsimile copy of his or her signature as a binding and enforceable signature, admissible in any proceeding to the same extent as if this form were submitted in manual form.

Instructions for Firms Transferring Assets

All deliveries must include the account holder's name and the Schwab Charitable donor-advised fund account number.

Wire Transfer

Wire to: Citibank N.A.
 111 Wall Street
 New York, NY 10005
 1-800-627-3999
 ABA#: 021000089
 Citibank Account Number: 40553953
 FFC Schwab Charitable
 FBO: XXXXXXXX (please use 8-digit Schwab Charitable account number)

Electronic Funds Transfer EFT

Transfer to: JPMorgan Chase/Account of Charles Schwab
 211 Main Street
 San Francisco, CA 94105
 1-800-435-4000

Schwab's Direct Deposit Transit Routing Number: 071000013

Account Number: 593853800XXXXXXXXX (please replace the "XXXXXXXX" with the 8-digit Schwab Charitable account number)

Account Type: Checking

Be aware that the bank you use for this EFT may charge a fee for this transaction. Set up the EFT appropriately: choose either one time or recurring.

Physical Checks and Physical Certificates

Please make checks payable to Schwab Charitable. Include the account number or Special Account Name in the memo field of the check.

Schwab Charitable	or	Schwab Charitable
200 S 108th Ave.		P.O. Box 2430
Omaha, NE 68154		Omaha, NE 68103

DTC-Eligible Securities (e.g., stocks)

DTC Clearing 0164, Code 40
 Schwab Charitable Tax ID #: 31-1640316

Fed Book-Entry Securities (e.g., Treasury Notes)

JPM CHASE/CCC
 ABA#: 021000018 BK of NYC/CSCHWAB
 FFC: XXXXXXXX (please use 8-digit Schwab Charitable account number)

Schwab Charitable® is the name used for the combined programs and services of Schwab Charitable Fund™, an independent nonprofit organization. Schwab Charitable Fund has entered into service agreements with certain subsidiaries of The Charles Schwab Corporation.

Schwab Charitable Fund is recognized as a tax-exempt public charity as described in Sections 501(c)(3), 509(a)(1), and 170(b)(1)(A)(vi) of the Internal Revenue Code. Contributions made to Schwab Charitable Fund are considered an irrevocable gift and are not refundable. Once contributed, Schwab Charitable has exclusive legal control over the contributed assets.

Transfer of Assets (TOA) Frequently Asked Questions



Page 1 of 1

Who is the account registered to?

All Schwab Charitable donor-advised fund accounts are registered to Schwab Charitable.

Who must sign the TOA form?

All owners reflected on the transferring firm account statement must sign the TOA form. If an owner on the transferring firm account statement is not a Schwab client, the account holder(s) must obtain a notarized signature on the signature page of the TOA form.

Do I have to include an account statement?

Yes. Every time you submit a new TOA form, you must include the most recent account statement from the transferring firm, which must show the account registration, account number, and asset(s) to be transferred in-kind.

Can the TOA form be electronically signed?

No, the TOA form can never be electronically signed. It must always be signed with a physical signature.

Can I request a cash-only transfer from another financial institution with a TOA form?

No, Schwab Charitable cannot initiate a cash-only transfer from another financial institution. Donors can send cash via check, wire, or electronic funds transfer.

Do I need to use the TOA form if I am moving funds from another donor-advised fund account?

No. A TOA form cannot be used to facilitate the move of funds from an existing donor-advised fund account to Schwab Charitable. To transfer funds from another donor-advised fund account to Schwab Charitable, the donor must make a grant recommendation from their transferring donor-advised fund account to the Schwab Charitable account. The donor will need to add a note in the grant that includes their Schwab Charitable account name and account number and state that this grant is for their Schwab Charitable account. Further, they can indicate that the transaction is a transfer from one donor-advised fund account to another. Please contact the transferring donor-advised fund firm (not Schwab Charitable) if any questions arise about issuing a grant from the transferring donor-advised fund account to your Schwab Charitable account.

Can I donate a master limited partnership (MLP) to my donor-advised fund account?

Gifting master limited partnerships may trigger adverse consequences to both the donor and the donor-advised fund account. Please call 1-800-746-6216 with any further questions.

Can I donate fractional shares to my donor-advised fund account?

For mutual funds, we can accept the entire position or fractional shares up to three decimal points.

Does Schwab Charitable have to initiate the transfer request into the donor-advised fund account?

No. You can contact the transferring firm to initiate an outgoing charitable gift to Schwab Charitable and provide your Schwab Charitable donor-advised fund account number. The transferring firm will send the asset(s) to your Schwab Charitable donor-advised fund account.

Can I donate funds from my retirement accounts?

No. The IRS does not consider donor-advised fund sponsors like Schwab Charitable as qualified charities for a qualified charitable distribution (QCD) or for a required minimum distribution (RMD). If you are considering contributing assets out of your Schwab retirement account as a QCD or RMD, please call Schwab Retirement Services at 1-800-694-9449.

When will my cost basis show up?

Schwab Charitable will update your account with the cost basis information provided on a transfer statement by a transferring firm. Because the accuracy of cost basis data depends upon these third-party statements, Schwab Charitable is not able to guarantee the availability, accuracy, or completeness of such cost basis data. It is your obligation to confirm the accuracy of the information Schwab Charitable receives from the transferring firm.

Who liquidates my assets coming into the account?

If you do not have a professional account manager, Schwab Charitable will liquidate the transferred assets upon receipt. Please note that Schwab Charitable typically sells publicly traded securities at the earliest date possible, but may sell at any time. If you have a professional account manager listed on your account, they are responsible for placing trades in the donor-advised fund account.

